

Application Form

Personal Details

APPLICANT 1

Title	Surname										
Forename(s) in full											
Address											
											Postcode
Country											
Email Address											
Date of Birth				Daytime Telephone Number							
A/C designation, if required*											
Please detail any residency or citizenship you hold in any country other than the UK											

* Purchases for minors should be registered in the name of an adult and such accounts designated with the initials of the minor

Do you have any other holdings with us? YES NO (Please tick) Holder ID

APPLICANT 2

Title	Surname										
Forename(s) in full											
Address											
											Postcode
Country											
Email Address											
Date of Birth				Daytime Telephone Number							
Please detail any residency or citizenship you hold in any country other than the UK											

* Purchases for minors should be registered in the name of an adult and such accounts designated with the initials of the minor

Do you have any other holdings with us? YES NO (Please tick) Holder ID

Investments cannot be registered in the name of a trust, scheme or executorship. Instead they should be in the name(s) of the individual trustee(s).

Investment Details (Lump Sum)

You may invest in one or more funds. The minimum subscription for each fund is detailed in the relevant Simplified Prospectus.

Fund (please write name of fund(s) below)	Lump Sum Amount
Fund 1	£
Fund 2	£
Fund 3	£
Total Lump Sum Amount	£

If you require income to be paid out to you, please tick here; Where applicable income payments will be made by cheque.

Otherwise, income will either be accumulated (where accumulation units/shares are available) or automatically reinvested at the offer price/NAV excluding any initial charge (where only income units/shares are available).

Financial Adviser's Details (if applicable)

Agent Code	Agent's Name and Address or Stamp
FSA Number	
Adviser Name	
Tick here if cancellation rights apply. <input type="checkbox"/>	
IFAs only Special Instructions (if left blank, standard terms will apply)	Commission <input type="checkbox"/> (%) Discount <input type="checkbox"/> (%)

Client Identification

In order to comply with Money Laundering Regulations we are required to verify your identity and address. We will do this by checking your details against various databases via a credit reference agency. These checks will not have any impact on your credit rating.

In the event that we are unable to verify your identity in this way we may write to you to request additional documentation.

Overseas investors should contact us prior to investing to discuss documentation requirements.

For Non UK Resident Investors Only

Please provide your Tax Identification Number (TIN) (if known)	
Place of Birth (Town and Country)	
	Date of Birth
	D D M M Y Y Y Y

Unless otherwise included for the Client Identification section above, please forward a copy of the section of your passport (or official identity card) showing the above details.

Declaration



I declare that I am 18 years of age or over. I wish to buy units/shares as set out overleaf at the buying price prevailing at the first valuation following receipt of this order. I declare that I have received and read the Simplified Prospectus which includes the risk warnings (both general and specific to the funds). I confirm that I am not a US Person (either a resident or citizen) and acknowledge that US Persons cannot hold shares/units in the fund(s). I agree to the terms and conditions of Marlborough Fund Managers Ltd.	Applicant 1	Date
	Signature	
	Applicant 2	Date
	Signature	

Marlborough Fund Managers Ltd as data controller will handle your personal details in accordance with the Data Protection Act 1998. We may contact you from time to time with details of other products or services we offer. If you do NOT wish to receive such information please tick here

Completed Application Form

Completed Application Forms with your cheque should be returned to your Financial Adviser or direct to Marlborough Fund Managers Ltd at: Marlborough House 59 Chorley New Road Bolton BL1 4QP Please make all cheques payable to: 'Marlborough Fund Managers Ltd'	If you have any queries or need assistance with the completion of this application form, please contact your financial adviser or contact us. Customer Support: 0808 145 2500 Intermediary Support: 0808 145 2502 Dealing: 0808 145 2501 Fax: 01204 533045 Email: enquiries@marlboroughfunds.com Website: www.marlboroughfunds.com
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Up to date Simplified Prospectus, the full Prospectus and Manager's Reports and Accounts for any fund within the Manager's range, can be requested by the investor at any time.

ISA Application Form 2012/2013

Personal Details

Title	Surname										
Forename(s) in full											
Address											
Postcode					Daytime Telephone Number						
Date of Birth					National Insurance Number						
Email Address											
<p>If you do not know your National Insurance Number, see your P60, notice of coding, tax return or see your employer or tax office who may be able to help. If you receive a pension, you can find the number on the front of your pension book. IMPORTANT: Your National Insurance Number and date of birth are essential requirements for ISA investments. We cannot open your ISA without them. If, however, a National Insurance Number has never been issued to you please sign here.</p>											
Signature											

Do you have any other holdings with us? YES NO (Please tick) Holder ID

Stocks & Shares ISA

For the 2012/2013 tax year your total investment in a Stocks & Shares ISA must not exceed £11,280 or a monthly amount of £940. The minimum subscription for each fund is detailed in the relevant Simplified Prospectus.

I wish to invest in a **Stocks & Shares ISA** for the / tax year and each subsequent year.

Investment Details (Lump Sum)

The minimum subscription for each fund is detailed in the relevant Simplified Prospectus

Fund (please write name of fund(s) below)	Lump Sum Amount
Fund 1	£
Fund 2	£
Fund 3	£
Total Lump Sum Amount	£

If you require income to be paid out to you, please tick here; Where applicable income payments will be made by cheque
 Otherwise, income will either be accumulated (where accumulation units/shares are available) or automatically reinvested at the offer price/NAV excluding any initial charge (where only income units/shares are available).

Financial Adviser's Details (if applicable)

Agent Code	Agent's Name and Address or Stamp
FSA Number	
Adviser Name	
Tick here if cancellation rights apply. <input type="checkbox"/>	
IFAs only Special Instructions (if left blank, standard terms will apply)	
Commission <input type="checkbox"/> (%)	
Discount <input type="checkbox"/> (%)	

Client Identification

In order to comply with Money Laundering Regulations we are required to verify your identity and address. We will do this by checking your details against various databases via a credit reference agency. These checks will not have any impact on your credit rating.

In the event that we are unable to verify your identity in this way we may write to you to request additional documentation.

Overseas investors should contact us prior to investing to discuss documentation requirements.

Completed Application Form

Application Forms and your cheque should be sent to your Financial Adviser or directly to Marlborough Fund Managers Ltd, Marlborough House, 59 Chorley New Road, Bolton, BL1 4QP. Please make all cheques payable to: 'Marlborough Fund Managers'	If you have any queries with the completion of this application form, please contact your financial adviser or our customer support team on freephone (0808) 145 2500.
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Up to date Simplified Prospectus, the full Prospectus and Manager's Reports and Accounts for any fund within the Manager's range, can be requested by the investor at any time.

Declaration

<p>1. I declare that I am aged 18 years or over. I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident either perform duties which, by virtue of Section 28 of Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom or I am married to or in a civil partnership with a person who performs such duties, and I will inform Marlborough Fund Managers if I cease to be so resident and ordinarily resident or to perform such duties or be married or in a civil partnership with a person who performs such duties.</p> <p>2. I apply to subscribe for a Stocks & Shares ISA for the tax year stated overleaf and each subsequent year.</p> <p>3. I apply to subscribe the amount as stated overleaf.</p> <p>4. I have not subscribed and will not subscribe to another Stocks & Shares ISA in the same tax year that I subscribe to this Stocks & Shares ISA.</p> <p>5. I have not subscribed and will not subscribe more than the overall subscription limit to a Stocks & Shares ISA and a Cash ISA in the same tax year.</p>	<p>6. All subscriptions made and to be made belong to me.</p> <p>7. I hereby authorise Marlborough Fund Managers Limited to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash and to make on my behalf any claims to relief from tax in respect of ISA investments.</p> <p>8. I acknowledge that I have read and understood the Marlborough Fund Managers Limited ISA Terms and Conditions and I agree to be bound by these. To the best of my knowledge and belief, all statements made in this Application Form are true and correct and I shall inform the Plan Manager immediately of any changes herein.</p> <p>9. I have read and understood the accompanying Simplified Prospectus.</p> <p>Signature <input type="text"/></p> <p>Date <input type="text"/></p>
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Marlborough Fund Managers Ltd as data controller will handle your personal details in accordance with the Data Protection Act 1998. We may contact you from time to time with details of other products or services we offer. If you do NOT wish to receive such information please tick here

ISA Transfer Form

Personal Details

Title	Surname									
Forename(s) in full										
Address										
Postcode					Daytime Telephone Number					
Date of Birth					National Insurance Number					
Email Address										
<p>If you do not know your National Insurance Number, see your P60, notice of coding, tax return or see your employer or tax office who may be able to help. If you receive a pension, you can find the number on the front of your pension book. IMPORTANT: Your National Insurance Number and date of birth are essential requirements for ISA investments. We cannot open your ISA without them. If, however, a National Insurance Number has never been issued to you please sign here.</p>										
Signature										

Do you have any other holdings with us? YES NO (Please tick) Holder ID

Details of Account to be Transferred

<p><u>Stocks & Shares ISA</u></p> <p><input type="checkbox"/> I wish to transfer the whole of my current year's ISA subscriptions</p> <p><input type="checkbox"/> I wish to transfer all my previous years ISA subscriptions.</p> <p><input type="checkbox"/> I wish to transfer £ _____ or _____ % of my ISA holding for previous years. Please indicate fund(s) to be transferred _____</p> <p><input type="checkbox"/> I wish to transfer specific whole tax year(s) - enter tax year(s) e.g. 2010-2011 _____</p>	<p><u>Cash ISA</u></p> <p><input type="checkbox"/> I wish to transfer the whole of my current year's Cash ISA subscriptions</p> <p><input type="checkbox"/> I wish to transfer all my previous years Cash ISA subscriptions.</p> <p><input type="checkbox"/> I wish to transfer £ _____ or _____ % of my Cash ISA holding for previous years</p> <p><input type="checkbox"/> I wish to transfer specific whole tax year(s) - enter tax year(s) e.g. 2010-2011 _____</p>
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Transfer Instruction to Existing ISA Manager

I hereby authorise you to transfer the ISA referred to in this section by liquidating the assets as detailed and transfer the proceeds with any additional cash held in my ISA to Marlborough Fund Managers Ltd. Any dividends, tax credits, sale proceeds or other monies should be forwarded as soon as possible. I authorise you to supply Marlborough Fund Managers Ltd with full details of my ISA.

Cheques should be made payable to Marlborough Fund Managers Ltd. Please forward a full history of the ISA(s) along with the cheque at your earliest convenience to the address below.

Please state a date that you wish the transfer to commence. If left blank it shall be regarded as an immediate transfer (subject to the practical implementation period).

Please complete your current ISA Manager's details

Manager's Name	
Address	Signature
Postcode	Date
Phone No.	Account No:



Investment Details

The minimum subscription for each fund is detailed in the relevant Simplified Prospectus

Fund (please write name of fund(s) below)	Transfer Amount
Fund 1	%
Fund 2	%
Fund 3	%
Total Lump Sum Amount	100 %

If you require income to be paid out to you, please tick here; Where applicable income payments will be made by cheque. Otherwise, income will either be accumulated (where accumulation units/shares are available) or automatically reinvested at the offer price/NAV excluding any initial charge (where only income units/shares are available).

Client Identification

In order to comply with Money Laundering Regulations we are required to verify your identity and address. We will do this by checking your details against various databases via a credit reference agency. These checks will not have any impact on your credit rating.

In the event that we are unable to verify your identity in this way we may write to you to request additional documentation.

Overseas investors should contact us prior to investing to discuss documentation requirements.

Financial Adviser's Details (if applicable)

Agent Code	Agent's Name and Address or Stamp
FSA Number	
Adviser Name	
Tick here if cancellation rights apply. <input type="checkbox"/>	
IFAs only Special Instructions (if left blank, standard terms will apply)	
Commission <input type="checkbox"/> (%)	Discount <input type="checkbox"/> (%)

Completed Application Form

Application Forms and your cheque should be sent to your Financial Adviser or directly to Marlborough Fund Managers Ltd, Marlborough House, 59 Chorley New Road, Bolton, BL1 4QP.

If you have any queries with the completion of this application form, please contact your financial adviser or our customer support team on freephone (0808) 145 2500.

Up to date Simplified Prospectus, the full Prospectus and Manager's Reports and Accounts for any fund within the Manager's range, can be requested by the investor at any time.

Declaration

I wish to transfer my Individual Savings Account (as detailed above) to Marlborough Fund Managers Ltd.

I declare that I am aged 18 years or over, I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, perform duties which, by virtue of Section 28 of Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas) are treated as being performed in the United Kingdom, or I am married to or in a civil partnership with a person who performs such duties. I will inform Marlborough Fund Managers Ltd if I cease to be so resident and ordinarily resident or to perform such duties or be married to or in a civil partnership with a person who performs such duties.

In respect of an ISA transfer for the current tax year, I have not subscribed and will not subscribe to another Stocks and Shares ISA.

I hereby authorise Marlborough Fund Managers Ltd:
(1) to hold in my ISA my cash subscription, plan investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.

(2) to make on my behalf any claims for relief from tax in respect of my Plan investments.

I have read and understood the Simplified Prospectus. I agree that the Individual Savings Account (ISA) opened by Marlborough Fund Managers pursuant to this application will be governed by the ISA Regulations and I agree to be bound by the terms and conditions.

I declare to the best of my knowledge and belief all of the information on this form is true and correct and will inform Marlborough Fund Managers Ltd immediately in writing of any change in my circumstances which affects any of this information.

Signature

Date

Marlborough Fund Managers Ltd as data controller will handle your personal details in accordance with the Data Protection Act 1998. We may contact you from time to time with details of other products or services we offer. If you do NOT wish to receive such information please tick here



PLEASE COMPLETE THIS PAGE IF YOU REQUIRE A REGULAR SAVING PLAN

Investment Details (Regular Saver)

Regular Investment amount per month (Minimum £100 per Fund)

Fund (please write name of fund(s) below)	Regular Savings Amount	
Fund 1	£	
Fund 2	£	
Fund 3	£	
Total Monthly Amount		£

Regular savers must enclose a cheque for their first instalment and complete the Direct Debit Form below for subsequent payments. Your cheque should be made payable to Marlborough Fund Managers Ltd and must be drawn on the same bank account as that of your regular saving.

If you require income to be paid out to you, please tick here; Where applicable income payments will be made by cheque. Otherwise, income will either be accumulated (where accumulation units/shares are available) or automatically reinvested at the offer price/NAV excluding any initial charge (where only income units/shares are available).

Direct Debit Form

Please fill in the whole form using a ball point pen and send it to:

Marlborough Fund Managers Limited, Marlborough House, 59 Chorley New Road, Bolton, BL1 4QP



You should be aware that Banks and Building Societies may not accept Direct Debit instructions for some types of account. Please refer to the relevant Simplified Prospectus for the date on which your Direct Debit will be collected.

Instruction to your Bank or Building Society to pay by Direct Debits.

To The Manager		Bank/Building Society
Address		
		Postcode
Name(s) of account holders		
Account Number		Sort Code
Reference (to be completed by Marlborough)		
Service User Number: 942939		

Instruction to your Bank or Building Society: Please pay Marlborough Fund Managers Direct Debits from the account detailed on this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Marlborough Fund Managers and if so, details will be passed electronically to my Bank/Building Society.

Signature	Date
Signature	Date



Direct Debit Guarantee

THIS GUARANTEE SHOULD BE DETACHED AND RETAINED BY THE PAYER

This Guarantee is offered by all Banks and Building Societies that accept instructions to pay Direct Debits

- If there are any changes to the amount, date or frequency of your Direct Debit, Marlborough Fund Managers Ltd will notify you 3 working days in advance of your account being debited or as otherwise agreed. If you request Marlborough Fund Managers Ltd to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit by Marlborough Fund Managers Ltd or your Bank or Building Society, you are entitled to a full and immediate refund of the amount paid from your bank or building society. If you receive a refund you are not entitled to, you must pay it back when Marlborough Fund Managers Ltd asks you to.
- You can cancel a Direct Debit at any time by simply contacting your Bank or Building Society. Written confirmation may be required. Please also notify us.

